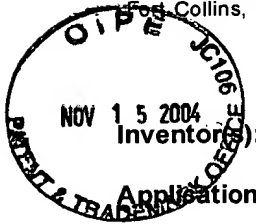


IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE



Inventor(s): Paily T. Varghese et al.

Confirmation No.:

Application No.: 09/127,571

Examiner: Tran, K.

Filing Date: July 31, 1998

Group Art Unit: 3634

Title: Computer Component Rack Mounting Arrangement

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☒ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, Alexandria, VA  
22313-1450. Date of Deposit: 11/10/2004

OR  
☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_  
Number of pages: \_\_\_\_\_

Typed Name: Tait R. Swanson

Signature: Tait R. Swanson

Respectfully submitted,

Paily T. Varghese et al.

By Tait R. Swanson

Tait R. Swanson

Attorney/Agent for Applicant(s)  
Reg. No. 48,226

Date: 11/10/2004

Telephone No.: (281) 970-4545



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Paily T. Varghese et al.

Serial No.: 09/127,571

Filed: July 31, 1998

For: Computer Component Rack  
Mounting Arrangement

§  
§  
§  
§  
§  
§  
§  
§  
§  
§  
§

Group Art Unit: 3634

Examiner: Tran, K.

Atty. Docket: 200304138-1/FLE/SWA/HOF  
(NUHP:0110)

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

November 10, 2004  
Date

  
Tait R. Swanson

Sir:

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §§ 1.97(b)(4) AND 1.98**

In compliance with the duty of disclosure under 37 C.F.R. § 1.56(a), it is respectfully requested that this Information Disclosure Statement be entered and that the references listed on the attached PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made, as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), or as a representation that no other possibly material information, as defined in 37 C.F.R. § 1.56(b), exists.

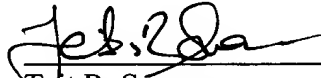
Furthermore, the references listed on the attached Form 1449 are not to be construed as an admission that these references qualify as prior art as to the above-

referenced application or any related application. Rather, these references are being presented for the Examiner's consideration without prejudice to the right to demonstrate that any of these references do not qualify as prior art should the Examiner choose to apply any of these references.

The following information is listed below in accordance with 37 C.F.R. §1.98. Any explanation of non-English language documents contained in this Information Disclosure Statement is believed to constitute a concise explanation of the relevance of the listed reference as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the listed reference, in accordance with 37 C.F.R. § 1.98(a)(3). For example, the DE 4230706 A1 reference relates generally to a pull-out guide for a slide-in piece in furniture.

Respectfully submitted,

Date: November 10, 2004

  
\_\_\_\_\_  
Tait R. Swanson  
Reg. No. 48,226  
(281) 970-4545

**Correspondence Address:**

Hewlett-Packard Company  
IP Administration; Legal Department, M/S 35  
P.O. Box 272400  
Fort Collins, CO 80527-2400

## PATENT APPLICATION

Sheet 1 of 5

FORM PTO-1449

ATTY. DOCKET NO.

200304138-1

APPLICATION NO.

09/127,571

CONFIRMATION NO.

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

APPLICANT

Paily T. Varghese et al.

FILING DATE

July 31, 1998

GROUP

3634

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME                | Pages, Columns, Lines Where<br>Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|---------------------|--|
|                     | 1A | 2002/0104942       | 08/08/2002          | Mimlitch III et al. |  |
|                     | 1B | 2003/0052580       | 03/20/2003          | Dobler et al.       |  |
|                     | 1C | 2003/0193781       | 10/16/2003          | Mori, Robert F.     |  |
|                     | 1D | 20040159618        | 08/19/2004          | Nguyen et al.       |  |
|                     | 1E | 20040217073        | 11/04/2004          | Dobler et al.       |  |
|                     | 1F | 20040120123        | 06/24/2004          | David W. Mayer      |  |
|                     | 1G | 20040080247        | 04/29/2004          | Dobler et al.       |  |
|                     | 1H | 3,092,429          | 06/04/1963          | Barnes              |  |
|                     | 1I | 3,133,768          | 05/19/1964          | Klakovich           |  |
|                     | 1J | 3,679,274          | 07/25/1972          | Nance               |  |
|                     | 1K | 3,844,627          | 10/29/1974          | Gutner              |  |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME OF PATENTEE<br>OR APPLICANT | Pages/Columns/Lines Where<br>Relevant Passages/Figures Appear | Check if<br>Translation<br>attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
|  | 1L | DE 4,230,706       | 03/17/1994          | Schock Metallwerk                |   |                                     |
|  | 1M |                    |                     |                                  |   |                                     |
|  | 1N |                    |                     |                                  |   |                                     |
|  | 1O |                    |                     |                                  |   |                                     |
|  | 1P |                    |                     |                                  |   |                                     |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|  |    |   |
|--|----|---|
|  | 1Q | 09/691,382; Filed 10/18/2000; Entitled: "Rack System for Mounting Electronic Devices"; Inventor: Bologna et al. |
|  | 1R |   |
|  | 1S |   |

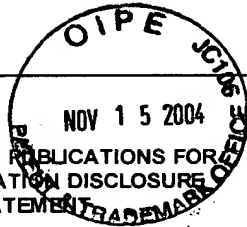
EXAMINER

DATE CONSIDERED

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)



ATTY. DOCKET NO.

200209147-1

APPLICATION NO.

10/427,134

CONFIRMATION NO.

APPLICANT

Karl Dobler et al.

FILING DATE

May 1, 2003

GROUP

3634

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME              | Pages, Columns, Lines Where<br>Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|-------------------|--|
|                     | 2A | 5,143,432          | 09/01/1992          | Ohshima et al.    |  |
|                     | 2B | 5,162,845          | 11/10/1992          | Ariyama et al.    |  |
|                     | 2C | 5,284,254          | 02/08/1994          | Rinderer, Eric R. |  |
|                     | 2D | 5,372,417          | 12/13/1994          | Buie et al.       |  |
|                     | 2E | 5,381,315          | 01/10/1995          | Hamaguchi et al.  |  |
|                     | 2F | 5,552,959          | 09/03/1996          | Penniman et al.   |  |
|                     | 2G | 5,571,256          | 11/05/1996          | Good et al.       |  |
|                     | 2H | 5,586,817          | 12/24/1996          | Hubbard et al.    |  |
|                     | 2I | 5,637,124          | 06/10/1997          | Diachuk           |  |
|                     | 2J | 5,779,333          | 07/14/1998          | Lautenschlager    |  |
|                     | 2K | 5,839,373          | 11/24/1998          | Lin               |  |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME OF PATENTEE<br>OR APPLICANT | Pages/Columns/Lines Where<br>Relevant Passages/Figures Appear | Check if<br>Translation<br>attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
|  | 2L |                    |                     |                                  |   |                                     |
|  | 2M |                    |                     |                                  |   |                                     |
|  | 2N |                    |                     |                                  |   |                                     |
|  | 2O |                    |                     |                                  |   |                                     |
|  | 2P |                    |                     |                                  |   |                                     |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | 2Q |  |
|  | 2R |  |
|  | 2S |  |

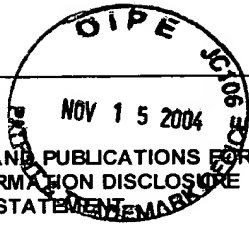
EXAMINER

DATE CONSIDERED

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)



ATTY. DOCKET NO.

200209147-1

APPLICATION NO.

10/427,134

CONFIRMATION NO.

APPLICANT

Karl Dobler et al.

FILING DATE

May 1, 2003

GROUP

3634

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME              | Pages, Columns, Lines Where<br>Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|-------------------|--|
|                     | 3A | 5,852,543          | 12/22/1998          | Kannler           |  |
|                     | 3B | 5,941,621          | 08/24/1999          | Boulay et al.     |  |
|                     | 3C | 6,021,047          | 02/01/2000          | Lopez et al.      |  |
|                     | 3D | 6,070,742          | 06/06/2000          | McAnally et al.   |  |
|                     | 3E | 6,185,092          | 02/06/2001          | Landrum et al.    |  |
|                     | 3F | 6,224,177          | 05/01/2001          | Chu               |  |
|                     | 3G | 6,230,903          | 05/15/2001          | Abbott            |  |
|                     | 3H | 6,231,138          | 05/15/2001          | Janson            |  |
|                     | 3I | 6,257,683          | 07/10/2001          | Yang              |  |
|                     | 3J | 6,305,556          | 10/23/2001          | Mayer             |  |
|                     | 3K | 6,360,900          | 03/26/2002          | Carbonneau et al. |  |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME OF PATENTEE<br>OR APPLICANT | Pages/Columns/Lines Where<br>Relevant Passages/Figures Appear | Check if<br>Translation<br>attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
|  | 3L |                    |                     |                                  |   |                                     |
|  | 3M |                    |                     |                                  |   |                                     |
|  | 3N |                    |                     |                                  |   |                                     |
|  | 3O |                    |                     |                                  |   |                                     |
|  | 3P |                    |                     |                                  |   |                                     |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | 3Q |  |
|  | 3R |  |
|  | 3S |  |

EXAMINER

DATE CONSIDERED

FORM PTO-1449

NOV 15 2004

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200209147-1

APPLICATION NO.

10/427,134

CONFIRMATION NO.

APPLICANT

Karl Dobler et al.

FILING DATE

May 1, 2003

GROUP

3634

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME               | Pages, Columns, Lines Where<br>Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|--------------------|--|
|                     | 4A | 6,385,036          | 05/07/2002          | Robert C. Chien    |  |
|                     | 4B | 6,422,399          | 07/23/2002          | Castillo et al.    |  |
|                     | 4C | 6,424,534          | 07/23/2002          | Mayer et al.       |  |
|                     | 4D | 6,431,668          | 08/13/2002          | Reddicliffe        |  |
|                     | 4E | 6,490,153          | 12/03/2003          | Casebolt et al.    |  |
|                     | 4F | 6,547,081          | 04/15/2003          | Joseph W. Kaminski |  |
|                     | 4G | 6,554,142          | 04/29/2003          | Gray               |  |
|                     | 4H | 6,574,100          | 06/03/2003          | Anderson           |  |
|                     | 4I | 6,588,866          | 07/08/2003          | Alex S. Cheng      |  |
|                     | 4J | 6,601,713          | 08/05/2003          | Joseph W. Kaminski |  |
|                     | 4K | 6,615,992          | 09/09/2003          | Lauchner et al.    |  |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME OF PATENTEE<br>OR APPLICANT | Pages/Columns/Lines Where<br>Relevant Passages/Figures Appear | Check if<br>Translation<br>attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
|  | 4L |                    |                     |                                  |   |                                     |
|  | 4M |                    |                     |                                  |   |                                     |
|  | 4N |                    |                     |                                  |   |                                     |
|  | 4O |                    |                     |                                  |   |                                     |
|  | 4P |                    |                     |                                  |   |                                     |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | 4Q |  |
|  | 4R |  |
|  | 4S |  |

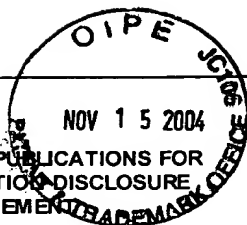
EXAMINER

DATE CONSIDERED

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)



ATTY. DOCKET NO.

200209147-1

APPLICATION NO.

10/427,134

CONFIRMATION NO.

APPLICANT

Karl Dobler et al.

FILING DATE

May 1, 2003

GROUP

3634

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME               | Pages, Columns, Lines Where<br>Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|--------------------|--|
|                     | 5A | 6,644,480          | 11/11/2003          | Joseph W. Kaminski |  |
|                     | 5B | 6,655,534          | 12/02/2003          | Williams et al.    |  |
|                     | 5C | 6,666,340          | 12/23/2003          | Bassinger et al.   |  |
|                     | 5D | 6,681,942          | 01/27/2004          | Gerome A. Haney    |  |
|                     | 5E | 6,702,124          | 03/09/2004          | Lauchner et al.    |  |
|                     | 5F | 6,702,412          | 03/09/2004          | Dobler et al.      |  |
|                     | 5G | 6,736,277          | 05/18/2004          | Lauchner et al.    |  |
|                     | 5H | 6,773,080          | 08/10/2004          | Chen et al.        |  |
|                     | 5I | 6,201,690          | 05/13/2001          | Moore et al.       |  |
|                     | 5J | 6,259,605          | 07/10/2001          | Schmitt            |  |
|                     | 5K |                    |                     |                    |  |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME OF PATENTEE<br>OR APPLICANT | Pages/Columns/Lines Where<br>Relevant Passages/Figures Appear | Check if<br>Translation<br>attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
|  | 5L |                    |                     |                                  |   |                                     |
|  | 5M |                    |                     |                                  |   |                                     |
|  | 5N |                    |                     |                                  |   |                                     |
|  | 5O |                    |                     |                                  |   |                                     |
|  | 5P |                    |                     |                                  |   |                                     |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | 5Q |  |
|  | 5R |  |
|  | 5S |  |

EXAMINER

DATE CONSIDERED